

**DESIGNATIONS AND
EXPERIENCE**

Designations CFP® CERTIFIED FINANCIAL PLANNER™ Professional

Prior Experience Fidelity Investments: Financial Representative, 2016-2017
Maintained client accounts by executing trade and distribution requests
Provided service and resolved complex issues for high net worth individuals

Putnam Investments: Investor Service Representative, 2015-2016
Processed service items and mutual fund trades per the request of clients and financial advisors

Jeanne D'Arc Credit Union: Member Service Representative, 2010-2015
Conducted account openings, account maintenance, loan originations, and loan closings
Approved consumer loans per company lending guidelines
Appointed as Branch IRA Specialist for all IRA account types

LICENSES

FINRA Series 7 – General Securities Registered Representative
Series 63 – Uniform Securities Agent State Law
Series 65 – Uniform Investment Advisor Law

*NAIC-Massachusetts Division
Of Insurance*

Individual Producer Life Insurance License
Individual Producer Accident and Health or Sickness License

EDUCATION

Rivier University, B.A., Magna Cum Laude
Boston University, Certificate in Financial Planning [CFP®]

SPENCER FINANCIAL, LLC

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